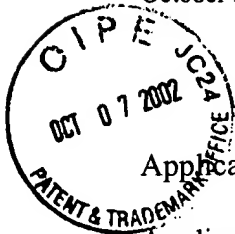


2812/8



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: John S. Petersen

Application No.: 09/843,622 Group: 2812

Filed: April 26, 2001 Examiner: M. Rouhanian

For: Improved Method for Phase Shift Mask Design, Fabrication and Use

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202	
on <u>10/3/02</u>	<u>J. Simen</u>
Date	Signature
Judith Simen	
Typed or printed name of person signing certificate	

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Assistant Commissioner for Patents  
P.O. Box 2327  
Arlington, VA 22202

Sir:

Transmitted herewith is a Preliminary Amendment/Restriction Requirement for filing in the above identified application.

[ ] Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by a Small Entity Statement previously submitted.

[ ] A Small Entity Statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.

The fee has been calculated as shown below:

(COL. 1)	(COL. 2)	(COL. 3)	(COL. 4)	(COL. 5)
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA
TOTAL	50	MINUS	* 37	13
INDEP	2	MINUS	** 3	0
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM				

SMALL ENTITY	
RATE	ADDIT. FEE
X \$ 9	\$
X \$42	\$
+ \$140	\$

OTHER THAN SMALL ENTITY	
RATE	ADDIT. FEE
X \$18	\$ 234
X \$84	\$
+ \$280	\$

OR

\* not fewer than 20  
\*\* not fewer than 3

TOTAL = \$ 0

TOTAL = \$ 234

Please charge Deposit Account No. 08-0380 for the following fees:

<input type="checkbox"/>	Petition for [ ] month Extension of Time	\$	_____
<input type="checkbox"/>	Amendment Fee	\$	_____
<input type="checkbox"/>	Other Fees:		
	_____	\$	_____
	_____	\$	_____
	TOTAL:	\$	<u>0</u>


A check is enclosed in payment of the following fees:

<input type="checkbox"/>	Petition for Extension of Time	\$	_____
<input checked="" type="checkbox"/>	Amendment Fee	\$	<u>234</u>
<input type="checkbox"/>	Other Fees:		
	_____	\$	_____
	_____	\$	_____
	TOTAL:	\$	<u>234</u>

☒ A general authorization is hereby granted to charge Deposit Account No. 08-0380 for any fees required under 37 C.F.R. 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By   
Christopher J. Lutz  
Registration No.: 44,883  
Telephone (978) 341-0036  
Facsimile (978) 341-0136

Concord, Massachusetts 01742-9133

Dated: 10/3/02



10/15/02  
H6/Pne  
Amat  
A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: John S. Petersen

Application No.: 09/843,622

Group: 2812

Filed: April 26, 2001

Examiner: M. Rouhanian

Confirmation No.: 7679

For: Improved Method for Phase Shift Mask Design, Fabrication and Use

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on <u>10/3/02</u>	<u>J. Simen</u>
Date	Signature
<u>Judith Simen</u>	
Typed or printed name of person signing certificate	

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PRELIMINARY AMENDMENT AND RESPONSE TO RESTRICTION REQUIREMENT

Assistant Commissioner for Patents  
P.O. Box 2327  
Arlington, VA 22202

Sir:

This Preliminary Amendment is being filed in response to a telephonic Restriction Requirement with Examiner Rouhanian on September 26, 2002 in the above-identified application. Reconsideration and further examination are requested.

Please amend the application as follows:

10/09/2002 SMINASS1 00000011 09843622

01 FC:103

234.00 OP